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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/485,153    02/04/00    YOKOYAMA

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EXAMINER
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ART UNIT	PAPER NUMBER
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2871

DATE MAILED:

04/25/01

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Office Action Summary

Application No.

09/485,153

Applicant(s)

YOKOYAMA ET AL.

Examiner

Tarifur R Chowdhury

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 04 February 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

- 15) ☒ Notice of References Cited (PTO-892)
- 16) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 17) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4.
- 18) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 19) ☐ Notice of Informal Patent Application (PTO-152)
- 20) ☐ Other:

## DETAILED ACTION

### *Priority*

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### *Specification*

2. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.
3. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

### *Claim Rejections - 35 USC § 102*

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. **Claims 1-3, 20-22, 26 and 27 are rejected under 35 U.S.C. 102(b) as being anticipated by Kenji et al (hereinafter Kenji), JP 08-140107 (provided by the applicant).**
6. Kenji discloses in the abstract and shows in figure 1, a projection type image display device, comprising:
  - a first light source (11) for emitting light of a first color (red);

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- a second light source (12) for emitting light of a second color (green); and
- a third light source (13) for emitting light of a third color (blue); characterized

in that:

light from the first light source (11), light from the second light source (12), and light from the third light source (13) are synthesized by color synthesizing optical systems (21-42).

Accordingly, claims 1 and 2 are anticipated.

As to claim 3, Kenji shows in figure 9 that a dichroic prism is used as a color synthesizing optical system.

As to claim 20, it is clear from the figures as well as the disclosure that the first, second and third light sources repeatedly light in order.

As to claim 21, Kenji further shows in figure 1 that light from the light source is modulated in the light modulating element and light so modulated is magnified by a projection lens and displayed.

As to claim 26, Kenji discloses in the abstract that the light modulating element forms, with time division, a first color component image, a second color component image, and a third color component image. Further the display device of Kenji display a color image by sequential display of the first, second and third color components in the light modulating element and by sequentially lighting of the first, second and third light sources corresponding to the sequential displays.

As to claims 22 and 27, Kenji also shows in figure 9 that the light modulating element is a transmissive type liquid crystal element, the light source is deployed

opposite one face of the liquid crystal element and images formed on the liquid crystal element are magnified by the projection lens and displayed.

**7. Claims 1-7, 19, 21 and 22 are rejected under 35 U.S.C. 102(b) as being anticipated by Shoichi et al (hereinafter Shoichi), JP 41-0123512 (provided by the applicant).**

Shoichi discloses in the abstract and shows in figure 2, a light source for a color liquid crystal display device, comprising:

- a first light source (21R) for emitting light of a first color;
- a second light source (21G) for emitting light of a second color;
- a third light source (21B) for emitting light of a third color; characterized in that:

light from the first, second and third light source are synthesized by a color synthesizing optical system (23a).

Accordingly, claims 1, 2 and 19 are anticipated.

As to claim 3, Shoichi shows in figure 5 that a dichroic prism is used as a color synthesizing optical system.

As to claims 4 and 5, Shoichi discloses in the abstract that plurality of light emitting diodes are deployed two-dimensionally in the first, second and third light sources, respectively.

As to claims 6 and 7, Shoichi shows in figuresd2, 3 and 6 that lens array element are deployed between the first, second and third light sources and the color synthesizing optical system.

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As to claims 21 and 22, Shoichi shows in figure 6 the display device having a transmissive type liquid crystal element as a light modulating element, the light source device being deployed opposite one face of the liquid crystal element and light from the light source is modulated in the light modulating element and is magnified by a projection lens and displayed.

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

**10. Claims 8, 9, 14-16, 23-25 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kenji as applied to claims 1-3, 20-22, 26 and 27 above.**

11. Kenji does not explicitly disclose that the light sources are planar. However, it is notoriously well known in the art to employ a planar light source for several advantages such as provide uniform brightness on the entire display area. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ planar light sources for the first, second and third light sources of Kenji in order to obtain a uniform brightness on the entire display area.

Accordingly, claim 8 would have been obvious.

As to claims 9 and 14, using flat-panel fluorescent light sources or flat-panel electroluminescent elements light sources are well known in the art and thus would have been obvious.

As to claims 15 and 16, conventionally electroluminescent elements have organic thin films as light emitting layers and electroluminescent elements comprising optical resonators are well known in the art and thus would have been obvious.

As to claim 23 and 28, viewing magnified virtual images of images displayed is well within the level of ordinary skill in the art and thus would have been obvious.

As to claim 24, employing color filters to obtain a color display is well known in the art and thus would have been obvious.

As to claim 25, using a reflection type light modulating element to obtain a reflective display is well known in the art and thus would have been obvious.

**12. Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kenji as applied to claims 8, 9, 14-16, 24 and 25 above and in view of Miyashita et al (hereinafter Miyashita), PN 6,011,602.**

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13. Kenji does not explicitly disclose the claimed prism array. However, as taught by Miyashita by employing a prism array between the light source and the optical system, it is possible to better direct the light. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ a prism array between the light source and the optical system of Kenji in order to direct the light better.

Accordingly, claims 10 and 11 would have been obvious.

**14. Claims 12, 13, 17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kenji as applied to claims 1-3, 20-22, 26 and 27 above in view of Tomonori et al(hereinafter Tomonori), JP 40-9105929 (provided by the applicant).**

Kenji does not explicitly disclose the claimed polarization converter elements being deployed between the light sources and the synthesizing optical system. However, Tomonori discloses in the abstract that by employing a polarization converter between the light source and the synthesizing optical system, contrast of light viewing through a liquid crystal panel becomes highest. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to employ polarization converter between the light source and the optical system in order obtain a high contrast of light viewing through the liquid crystal panel.

Accordingly, claims 12 and 17 would have been obvious.

As to claim 13, using reflecting polarizing plates as polarization converter is well known in the art and thus would have been obvious.



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AS to claim 18, conventionally polarization converter elements are configured of a quarter-wave films and polarization plates (see class 349, subclass 98).

***Conclusion***


15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tarifur R Chowdhury whose telephone number is (703) 308-4115. The examiner can normally be reached on M-Th (6:30-5:00) Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William L Sikes can be reached on (703) 305-4842. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7724 for regular communications and (703) 308-7724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1782.

TRC  
April 19, 2001

  
William L. Sikes  
Supervisory Patent Examiner  
Technology Center 2800